

Individual Account Application Form

If you require any assistance with your account application please contact MEX Customer Support on +61 2 9195 4000. Alternatively, you can email support@mexexchange.com.

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Individual Account Application Form

Personal Details

Title: *Please Circle* Mr Ms Mrs Dr Other

Given Name:

Surname:

Date of Birth:
 DAY MONTH YEAR

Street Address:

City:

State:

Postcode: Country:

Have you resided at the above address for the last 5 years?

If less than 5 years at current address, please provide details of previous address in the last 5 years:

Street Address:

City:

State:

Postcode: Country:

What is your Country of Residence for Tax Purposes?

Tax Identification Number (TIN):

Phone Number:

Email Address:

Introducer Code, if applicable:

Is Application for Self-Managed Super Fund or Trust? *Please Circle* Yes No

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Employment Details

- Employment Status:
- Employed
 - Self-Employed
 - Retired
 - Unemployed

- Source of Income:
- | | |
|--|---|
| <input type="checkbox"/> Salary | <input type="checkbox"/> Dividends |
| <input type="checkbox"/> Savings | <input type="checkbox"/> Superfund |
| <input type="checkbox"/> Investments | <input type="checkbox"/> Inheritance/Gift |
| <input type="checkbox"/> Property Sale | |

Occupation:

Industry Sector:

- Annual Income: *(AUD Equivalent)*
- 0-25,000
 - 25,000-75,000
 - 75,000-150,000
 - 150,000+

- Net Value of Savings & Investments: *(AUD Equivalent)*
- 0-25,000
 - 25,000-75,000
 - 75,000-150,000
 - 150,000+

Account Details

- Account Type:
- Trading
 - Introducing Broker
 - Money Manager

- Trading Account Type:
- | | |
|--|--------------------------------------|
| <input type="checkbox"/> Classic Account | <input type="checkbox"/> ECN Account |
|--|--------------------------------------|

- Base Currency:
- | | |
|------------------------------|------------------------------|
| <input type="checkbox"/> AUD | <input type="checkbox"/> USD |
| <input type="checkbox"/> EUR | <input type="checkbox"/> GBP |
| <input type="checkbox"/> SGD | <input type="checkbox"/> HKD |

- Leverage:
- | | |
|------------------------------|-----------------------------|
| <input type="checkbox"/> 500 | <input type="checkbox"/> 50 |
| <input type="checkbox"/> 200 | <input type="checkbox"/> 25 |
| <input type="checkbox"/> 100 | |

Expected Initial Deposit *(AUD Equivalent)*:

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- Source of Funds for Initial Deposit:
- | | | | |
|--------------------------|---------------|--------------------------|------------------|
| <input type="checkbox"/> | Salary | <input type="checkbox"/> | Dividends |
| <input type="checkbox"/> | Savings | <input type="checkbox"/> | Superfund |
| <input type="checkbox"/> | Investments | <input type="checkbox"/> | Inheritance/Gift |
| <input type="checkbox"/> | Property Sale | | |

Will you be using Expert Advisors to Trade?

Investment Experience & Understanding

Over the past 3 years, have you placed at least 10 trades on a Forex, CFD, or a leveraged investment in a live and/or demo account? *Please Circle* Yes No

Do you understand the nature and risks of margined (or geared) transaction? *Please Circle* Yes No

Have you completed any course in a leveraged trading or margin product? *Please Circle* Yes No

Client Acknowledgement and Declaration

This Application Form is use for by persons who wish to use our services to facilitate dealing in Margin Contracts, CFDs and Options. Subject to you being accepted as a client of MEX Australia, you agree to accept the terms and conditions stated in the Client Agreement (CA), Product Disclosure Statement (PDS), Financial Services Guide (FSG) and any other supplementary documents as incorporated by reference.

You acknowledged that you have read and understood the CA, PDS, FSG and all its annexures, confirmations and any other supplementary documents and accepted and agreed to abide by these terms and conditions. You also agreed that the company may vary, alter and amend any of the terms and conditions in its sole discretion from time to time. If this is an online application, you have downloaded, read and understood the electronic versions of these documents or received, read and understood the paper copies of these documents.

You are not an undischarged bankrupt or of unsound mind. You declared that the information given in this application is true and correct and have not withheld any facts. You undertake to keep the Company informed of any changes in your particulars given in this application.

You hereby agree and authorise the Company to verify and disclose any information given by you with any sources that the Company may in its sole discretion deem appropriate, having regard to your credit and our compliance with anti-money laundering and counter-terrorism financing laws. Should a need arise, you also agree to the Company obtaining from a credit reporting agency a consumer and/or commercial credit report about you in connection with this application.

You irrevocably consent to the disclosure by the Company of information about you with any appropriate sources including; (i) any related body corporate of the Company whether they are located in Australia or in a foreign jurisdiction; (ii) any employees, agents, contractor or other service

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providers of the Company including credit reference agencies and any entity involved in any restructure or transfer of our business.

You are aware and understand that MEX Australia is authorised and regulated by the Australian Securities and Investments Commission, holder of an Australian Financial Service Licence No. 416279, nonetheless it is not an Authorised Deposit-taking Institution and it is not regulated by the Australian Prudential Regulation Authority.

You accepted and agreed that the Company, or the people or organisation the Company disclosed your information to, may use your information to (i) provide you with further information targeted to your interests or to otherwise enhance any service or product provided by us to you; (ii) either conduct market research or to contact you as provided for under the Client Agreement; (iii) comply with any regulatory or legal disclosure requirements, including fulfilling our obligations under the Anti-Money Laundering and Counter-Terrorism. Financing Act 2006; (iv) obtain a consumer credit report about you, and/or allow the credit reporting agency to create or maintain a credit information file containing information about you as permitted by the Privacy Act 1988; (v) assess your application for credit under the Client Agreement.

You are (i) the ultimate beneficial owner(s) of this Account and that no person other than yourself have or will have any interest in, influence or control over this account whatsoever; ii) All monies which will be paid to the Company originates from a legitimate source; (iii) the proceeds of your transactions will not be used to finance any illegal activities.

You agree to provide certified copy of identification documentation to us if required by us, and in accordance with the terms of the Client Agreement. Also, you agree that the persons that you have nominated as authorised persons are duly authorised to deal on your account with MEX Australia.

I/We confirm that I/we have heard/read and understand this Client Agreement, Risk Disclosure Statement, Electronic Trading Terms and that the trading terms and services used in it have been explained to me/us fully by the giver of this statement.

By signing this agreement the client agrees to be legally bound:

.....
Signature

.....
Date

.....
Print Name